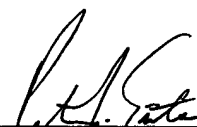| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER 46884-5473
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. If known, see 37 CFR 1.5 NEW **10/577958**

INTERNATIONAL APPLICATION NO. PCT/JP2004/018842	INTERNATIONAL FILING DATE December 16, 2004	PRIORITY CLAIMED JP P2003-418456 filed December 16, 2003

TITLE OF INVENTION
SEMICONDUCTOR LASER DEVICE AND METHOD OF MANUFACTURING THE SAME

APPLICANT(S) FOR DO/EO/US
Hirofumi KAN, Hirofumi MIYAJIMA and Nobuo WATANABE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is attached hereto (required only if not communicated by the International Bureau).
   b. ☒ has been communicated by the International Bureau
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))
   a. ☒ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has not expired.
   d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or a declaration of the inventor(s) (35 U.S.C. 371 (c)(4))
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
    (a) PCT/IB/304
    (b) PCT/IB/308
    (c) PCT/ISA/210 dated March 29, 2005
    (d) Front page of International Publication No. WO 2005/060057

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U.S. APPLICATION (If known, see 37 CFR 1.5) **10/577958** NEW	INTERNATIONAL APPLICATION NO. PCT/JP2004/018842	ATTORNEY DOCKET NUMBER 46884-5473	
		Calculations	**PTO use only**

		Calculations	PTO use only
The following fees are submitted: 21. ☒ Basic national Fee...$300.00		$300.00	
22. ☒ Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) $100.00 All other situations $200.00		$200.00	
23. ☒ Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority...$100.00 International Search Report prepared and provided to the Office......................$400.00 All other situations $500.00		$400.00	
TOTAL OF 21, 22 and 23 =		$900.00	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is $250 for each additional 50 sheets of paper or fraction thereof.				

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
34 - 100 =	0/50 =	0	× $250.00	$0.00	

Surcharge of **$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).		$0.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	11- 20 =	0	X $50.00	$0.00	
Independent claims	2 - 3 =	0	X $200.00	$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $360.00	$0.00	
TOTAL OF ABOVE CALCULATIONS =				$900.00	

☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			
SUBTOTAL =		$900.00	
Processing fee of $130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +		$0.00	
TOTAL NATIONAL FEE =		$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property +		$40.00	
TOTAL FEES ENCLOSED =		$940.00	
		Amount to be refunded:	$
		Amount to be charged:	$

a. ☒ A check in the amount of $940.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 50-0573 in the amount of $ _____ to cover the above fees.
      A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0573.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
CUSTOMER NO.: 055694

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Washington, D.C. 20005-1209
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SIGNATURE
NAME: Peter J. Sistare
REGISTRATION NUMBER: 48,183
DATE: May 2, 2006

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